

NONCONFIDENTIAL/EXTERNAL**Comparison of FMSB Publications with FX Global Code**

Date	Topic	Overlap with FX Global Code
Nov. 2016	Reference Price Transactions standard of the Fixed Income markets	N/A (fixed income only)
Nov. 2016	Binary Options standard for the Commodities markets	N/A (commodities only)
Dec. 2016	Surveillance Core Principles for FICC Market Participants: Statement of Good Practice for Surveillance in Foreign Exchange Markets	Principles 7, 23, 25, 27, 36, 39
Dec. 2016	Statement of Good Practice for FICC Market Participants: Conduct Training	Principles 2, 3, 5, 24, 25
May 2017	New Issue Process Standard for the Fixed Income markets	N/A (fixed income only)
Sept. 2017	Monitoring of written electronic communications: Statement of Good Practice for FICC Market Participants	Principles 7, 23, 25, 27, 36, 39
Sept. 2017	Front Office Supervision of Good Practice for FICC Market Participants	Principles 1, 2, 4, 5, 7, 26, 27, 28
June 2018 (draft)	Information & Confidentiality for the Fixed Income and Commodities markets Statement of Good Practice	N/A (fixed income and commodities)
July 2018 (draft)	Algorithmic Trading in FICC Markets Statement of Good Practice for FICC Market Participants	Principles 18, 27, 34, 36, 38
July 2018 (draft)	Conduct Risk in Market Transactions Statement of Good Practice	Principles 3, 4, 7, 24, 25, 26
July 2018	Risk Management Transactions for New Issuance standard for the Fixed Income markets	N/A (fixed income only)
Jan. 2019	Secondary Market Trading Error Compensation Standard	Principles 15, 48, 55
Jan. 2019	Suspicious Transaction and Order Reporting Statement of Good Practice for FICC Market Participants	Principles 2, 4, 7, 20, 25, 36, 37, 39, 52